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PTO/SB/21 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/885,879
	Filing Date	June 20, 2001
	First Named Inventor	YU et al.
	Group Art Unit	2635
	Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	Attorney Docket Number	101.042

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ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): <i>Corrected Filing Receipt</i>
Remarks		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Scott B. Allison
Signature	<i>Scott B. Allison</i>
Date	August 31, 2001

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231 on this date: August 31, 2001			
Type or printed name	Edith Martin		
Signature	<i>Edith Martin</i>	Date	August 31, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Applicant(s): YU et al.

Group Art Unit: 2635

Application No.: 09/885,879

Examiner: Not Yet Assigned

Filed: June 20, 2001

Attorney Docket No.: I01.042

Title: METHOD AND APPARATUS FOR
PROVIDING CONTENT

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REQUEST FOR CORRECTED FILING RECEIPT

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

Sir:

Applicants respectfully request that Filing Receipt of the above-identified patent application be corrected as follows:

1. The title is corrected to reflect the correct name of the Application as
METHOD AND APPARATUS FOR PROVIDING CONTENT

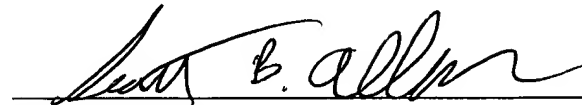
The requested changes have been indicated in red on the enclosed copy of the official Filing Receipt.

In support of this request, applicants have enclosed a copy of the Utility Patent Application Transmittal (Large Entity) filed with the original patent application, which clearly indicates the correct title of the Application. Please issue a corrected Filing Receipt in due course.

Respectfully submitted,

August 31, 2001

Date

A handwritten signature in black ink, appearing to read "Scott B. Allison", written over a horizontal line.

Scott B. Allison

Reg. No. 38,370

Attorney for Applicant

Allison@bmtapatent.com

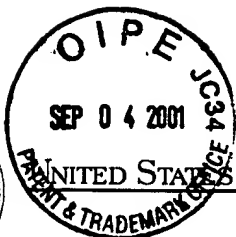
Buckley, Mashoff & Talwalkar, LLC

111 Elm Street

New Canaan, Connecticut 06840

(203) 972-5985/Phone

(203) 972-7627/Fax



UNITED STATES PATENT AND TRADEMARK OFFICE

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 UNITED STATES PATENT AND TRADEMARK OFFICE
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/885,879 ✓	06/20/2001 ✓	2635	1346	101.042 ✓	7	42	6

CONFIRMATION NO. 4509

 28062
 BUCKLEY, MASCHOFF & TALWALKAR, & ALLISON LLC
 111 ELM STREET
 NEW CANAAN, CT 06840

FILING RECEIPT



OC000000006445827

Date Mailed: 08/20/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

 Philip Shi-Lung Yu, Chappaqua, NY; ✓
 David P. Greene, Ossining, NY; ✓
 Edith H. Stern, Yorktown Heights, NY; ✓
 Barry E. Willner, Briarcliff Manor, NY; ✓

Buckley, Maschoff, Talwalkar & Allison LLC

 Date Received: 8/27/01
 Matter No.: 101.042 Atty. SBA
 Items Docketed: Filing Receipts

Domestic Priority data as claimed by applicant

Foreign Applications

Initials: JH Accy

If Required, Foreign Filing License Granted 08/17/2001

Projected Publication Date: 12/26/2002

Non-Publication Request: No

Early Publication Request: No

Title

Method and apparatus for providing content field of the invention.

Preliminary Class

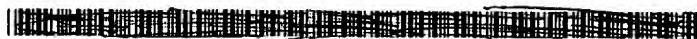
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Data entry by : INSIXIENGMAI, LYANA

Team : OIPE

Date: 08/20/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: YU et al.

Serial No.: Not yet assigned

Filing Date: Filed herewith

For: METHOD AND APPARATUS FOR
PROVIDING CONTENT

)
)
) Examiner: Not yet assigned

)
) Group Art Unit: Not yet assigned

)
) Attorney Docket No.: 101.042

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Technology Center 2600

Box PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231

**UTILITY PATENT APPLICATION TRANSMITTAL
(Large Entity)**

Sir:

Transmitted herewith for filing under 35 USC 111(a) and 37 CFR 1.53(b) is a new utility patent application for an invention entitled: *METHOD AND APPARATUS FOR PROVIDING CONTENT*

and invented by: *YU et al.*

Enclosed are:

1. ☒ Filing Fee as calculated and transmitted as described below
2. ☒ Specification having 37 pages and including the following:
 - a. ☒ Descriptive Title of the Invention
 - b. ☐ Cross references to Relate Applications (*if applicable*)
 - c. ☐ Statement Regarding Federally-sponsored Research/Development

- d. ☐ Reference to Microfiche Appendix (*if applicable*)
- e. ☒ Background of the Invention
- f. ☒ Brief Summary of the Invention
- g. ☒ Brief Description of the Drawings (*if drawings filed*)
- h. ☒ Detailed Description
- i. ☒ Claim(s) as Classified Below
- j. ☒ Abstract of the Disclosure
- 3. ☒ Drawing(s) (*when necessary as prescribed by 35 USC 113*)
 - a. ☒ Formal Number of Sheets 7
 - b. ☐ Informal Number of Sheets
- 4. ☒ Oath or Declaration
 - a. ☒ Newly executed (*original or copy*) ☐ Unexecuted
 - b. ☐ Copy from a prior application (37 CFR 1.63(d))
 - c. ☒ With Power of Attorney ☐ Without Power of Attorney
 - d. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the Prior Application, see 37. CFR 1.63(d)(2) and 1.33(b).
- 5. ☐ Incorporation By Reference (*usable if Box 4b is checked*)
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
- 6. ☒ Assignment Papers (*cover sheet & documents(s)*)
- 7. ☐ 37 CFR 3.73(B) Statement (*when there is an assignee*)
- 8. ☐ English Translation Document (*if applicable*)
- 9. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
- 10. ☐ Preliminary Amendment
- 11. ☒ Acknowledgement Postcard
- 12. ☒ Mailed Via
 ☐ First Class ☒ Express Mail (*Specify Label No.*) **ET030253895**
- 13. ☐ Certified Copy of Priority Document(s) (*if foreign priority is claimed*)
- 14. ☐ Request and Certification under 35 USC 122(b)(2)(B)(i) (*attach PTO/SB/35*)
- 15. ☒ Additional Enclosures: Appointment of Associate Power of Attorney

FEE CALCULATION

For	No. Filed	No. Allowed	No. Extra	Rate	Fee
Total Claims	41	- 20	21	\$ 18.00	\$ 378.00
Indep. Claims	6	- 3	3	\$ 80.00	\$ 240.00
Multiple Dependent Claims (If applicable, please add \$ 260.00)					\$ 0.00
BASIC FEE					\$ 710.00
OTHER FEE (specify purpose): Assignment Recordation					\$ 40.00
TOTAL FILING FEE					\$1,368.00

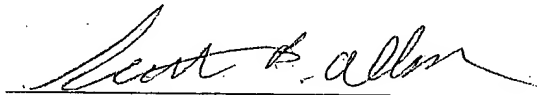
The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-0510 as described below. A duplicate copy of this sheet is enclosed.

- ☒ Charge the amount of **\$1,368.00** as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,

June 20, 2001

Date


 Scott B. Allison
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 New Canaan, CT 06840
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Bib Data Sheet

CONFIRMATION NO. 4509

SERIAL NUMBER 09/885,879	FILING DATE 06/20/2001 RULE	CLASS 340	GROUP ART UNIT 2635	ATTORNEY DOCKET NO. 101.042	
APPLICANTS Philip Shi-Lung Yu, Chappaqua, NY; David P. Greene, Ossining, NY; Edith H. Stern, Yorktown Heights, NY; Barry E. Willner, Briarcliff Manor, NY;					
** CONTINUING DATA ***** ** FOREIGN APPLICATIONS *****					
IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 08/17/2001					
Foreign Priority claimed <input type="checkbox"/> yes <input checked="" type="checkbox"/> no 35 USC 119 (a-d) conditions met <input type="checkbox"/> yes <input checked="" type="checkbox"/> no <input type="checkbox"/> Met after Allowance Verified and Acknowledged <i>MS</i> Examiner's Signature Initials		STATE OR COUNTRY NY	SHEETS DRAWING 7	TOTAL CLAIMS 42	INDEPENDENT CLAIMS 6
ADDRESS 28062					
TITLE Method and apparatus for providing content					
FILING FEE RECEIVED 1346	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees <input type="checkbox"/> 1.16 Fees (Filing) <input type="checkbox"/> 1.17 Fees (Processing Ext. of time) <input type="checkbox"/> 1.18 Fees (Issue) <input type="checkbox"/> Other _____ <input type="checkbox"/> Credit		